

LITEON[®]

LITE-ON Technology Corporation
RESPONSIBLE MINERALS REPORT
光寶科技衝突礦產盡職調查報告

July 2022 Ver. 1.2



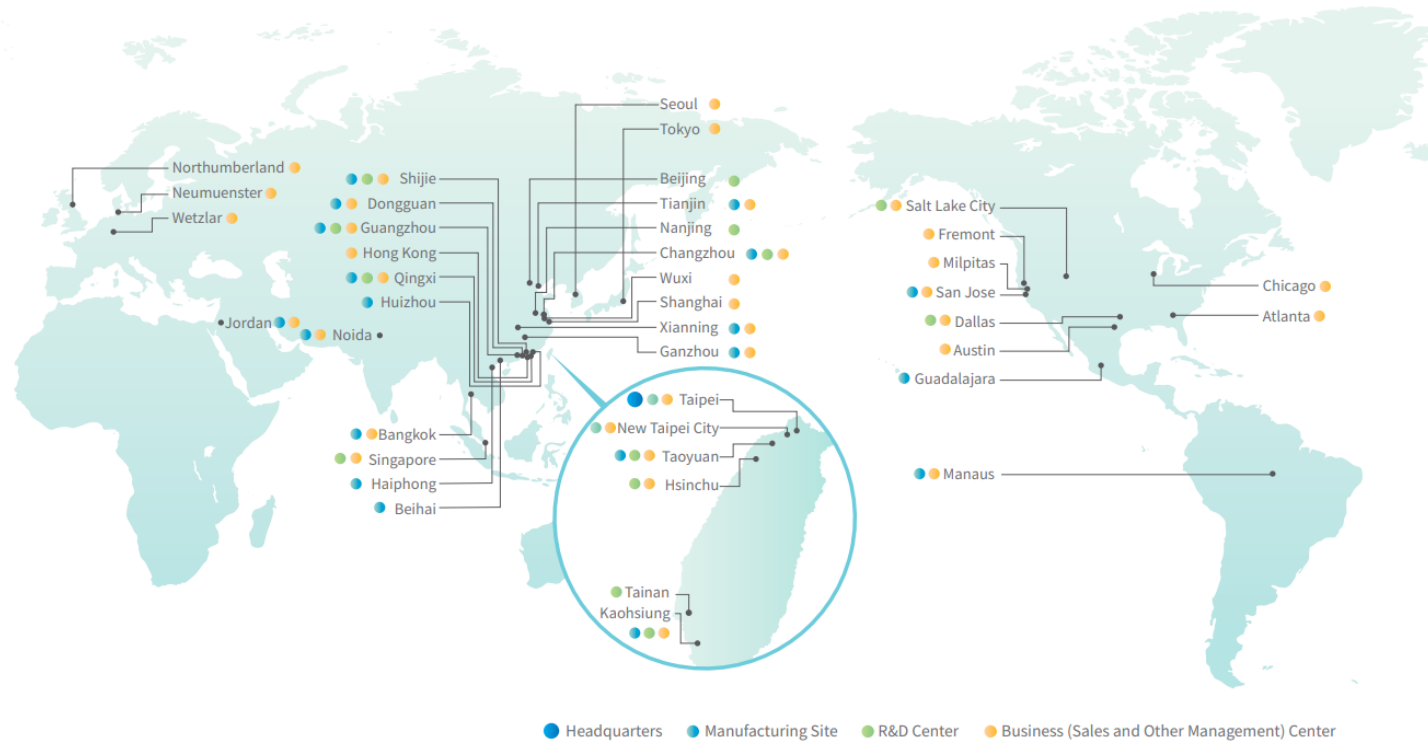
Report Introduction

LITE-ON Technology Corporation (LITEON) has committed to securing responsible minerals sourcing in our supply chain. The Covered Minerals, particularly gold, tantalum, tin, and tungsten, may be used in our various product lines to varying degrees. As a result, LITEON shows its effort by conducting responsible minerals sourcing and actively cooperates with global organizations to coordinate responses to conflict mineral risks and to share findings with our stakeholders. In 2022, LITEON published the first Responsible Minerals Report to exhibit our determination and commitment to responsible minerals sourcing.

Company Overview

Founded in 1975, and being the first listed electronics company in Taiwan, LITEON Technology is a world-leading provider of Opto-semiconductor, power supply management, and key electronic products with global manufacturing facilities. In recent years, with the active deployment in the fields of cloud computing, automotive electronics, 5G, AIoT, and optoelectronics, coupled with the expansion of new business for smart life, LITEON continues to use professionalism, rich industrial experience, flexible supply chain management with quick response and diverse worldwide operational centers, has become the best partner of global customers for creating value, innovation, and application of smart technology.

For over four decades, LITEON produces products that are used in a broad range of applications, such as cloud computing, automotive electronics, optoelectronics, LED/lighting, smart healthcare, computers, communications, industry, and consumer electronics while concentrating on establishing a competitive advantage in mass production to maximize the returns from a diverse product portfolio to realize profitable growths through resource integration and management; LITEON's main business strategy focuses on increasing resource utilization, automation, production optimization, and streamlined processes for better productivity and efficiency; in the long-term, the focus is on realizing profitability, maintaining stable operations as well as enhancing the return of shareholders' equity to take root in the sustainable operation of a century-old enterprise.



Product Scope

LITEON determines gold, tin, tungsten, or tantalum (“3TG”) are necessary to the functionality or production of a product manufactured or contracted to be manufactured by LITEON. For our electronic manufacturing services, typical materials and components utilized include solder, auto parts (tin-based), electrolytic capacitors (tantalum bearing), integrated circuits (gold wire), and high-temperature wires (tungsten). Gold and tin are essential to our electronic manufacturing services. In this report, LITEON has accumulate data from business units such as OPS, PMS, etc. from the factory locations including Taiwan, Changan, Changzhou, Guangzhou, etc.

Responsible Minerals Sourcing Policy

As a responsible corporate citizen of the world, LITEON has declared and committed to refrain from the use of metals sourced from unqualified smelters in conflict mines. The company also requires that all its suppliers comply with LITEON's responsible minerals sourcing policy:

- Ensure the absence of "responsible minerals from unqualified smelters" in Congo and surrounding countries and regions in their products, and adhere to the applicable regional and international laws for responsible minerals.
- Trace sources of all Gold (Au), Tantalum (Ta), Tin (Sn), Tungsten (W), Cobalt (Co), Mica, and other sources of responsible minerals published in the Responsible Minerals Initiative (RMI) in all products; while all suppliers should complete a connection report to confirm sources of related minerals and should use a list of RMI compliant smelters/refiners to avoid mines directly or indirectly financing armed groups in conflict-affected regions.
- Convey these requirements to their upstream suppliers.

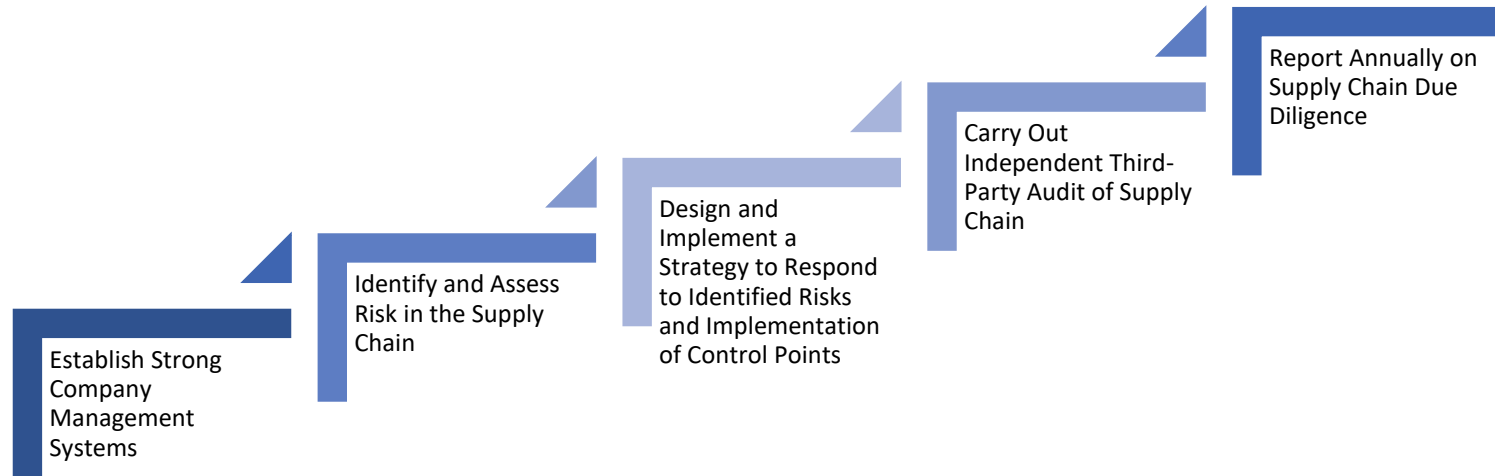
Remark: Responsible minerals refer to minerals mined under armed conflict or human rights abuses, especially minerals mined by armed groups surrounding the Democratic Republic of the Congo. These minerals include coltan, cassiterite, wolframite, cobalt, and gold, and can be refined into Tantalum (Ta), Tin (Sn), Tungsten (W), Cobalt (Co), and Gold (Au). In addition, mica mined from India and other regions is also known as responsible minerals and can be used in electronics and other products.



(Available on our website: <https://www.liteon.com/en-us/globalcitizenship/289>)

Design of Due Diligence

In accordance with the OECD Due Diligence Guidance, LITEON's due diligence measures on the source and chain of custody of Covered Minerals have been designed and implemented on the following framework:



i) Establish Strong Company Management Systems

- Risks related to responsible minerals sourcing are collected via the Responsible Minerals Platform by ESG Office with Green Product team members and reported to Corporate Sustainability Committee. Also, the Responsible Minerals Platform includes personnel such as Green Product team members or SQE from each business unit to monitor suppliers' related risks.
- Incorporated 3TG for the 2021-2022 supplier CMRT survey. Cobalt and mica are also under EMRT survey in LITEON survey system.
- Released a publicly available responsible minerals policy that provides overall guidance to LITEON's responsible minerals management.

(Policy available on website: <https://www.liteon.com/en-us/globalcitizenship/289>).

- In order to raise concerns regarding business conduct in LITEON's supply chain, LITEON has a grievance mechanism via ethic.hotline@liteon.com available to any interested party, such as affected person or whistleblower.
- Continued to raise awareness of responsible minerals, LITEON gives training to all of the personnel with responsibility for responsible minerals through our supplier CSR communication meeting. For instance, LITEON provides follow-up training on the implementation of its new software solution (Responsible Minerals Platform--Responsible Mineral Module under Green Management System), updated expectations on achieving goals, and the global trend.

ii) Identify and Assess Risk in the Supply Chain

- Identify suppliers who provide direct materials and components which may contain conflict minerals being necessary to the functionality or production of our products.
- Conduct a suppliers' survey via the CMRTs to identify the smelters and the origin countries of conflict minerals.
- Review each received CMRT based on our internal standard procedure to check the quality such as the suppliers' conflict minerals policies, suppliers' data collection from next-tier suppliers, and smelters identification and disclosure.
- Analyze data gathered on the CMRTs to identify potential inconsistencies or high risks smelters.
- Carry out on-site or document audits for suppliers determined as at-risk suppliers according to our supplier risk criteria.
- Following the audit, LITEON classifies suppliers into risk categories and assists suppliers with reviewing their policies, systems, and management.

iii) Design and Implement a Strategy to Respond to Identified Risks and Implementation of Control Points

- Periodic reviews are held, and status are collected via Responsible Minerals Platform by ESG Office and reported to Corporate Sustainability Committee in order for them to be aware of current conflict minerals compliance status.
- Compares supplier smelter data to RMI RCOI data to identify actual smelter origins.

- Encourages suppliers to assist non-compliant smelters obtain RMAP certification, or other independence third party audit program. Smelters unwilling or incapable of achieving such certification are considered to be replaced by compliant suppliers.
- Take RMI RMAP compliant smelter lists to monitor and track our suppliers and their smelters' information.
- Requests our suppliers to provide an updated response of their CMRTs if there is any change, and maintain a stable communication channel via Responsible Minerals Platform and reported to ESG Office and to the personnel Green Product team members or SQE.

iv) Carry Out Independent Third-Party Audit of Supply Chain

- As a member of the RMI, LITEON ensures our suppliers meet the criteria stated for the smelters the supplier uses and then verifies that those smelters conform to the RMAP.
- LITEON utilizes the standardized reporting template, the CMRT, which is provided by the RMI, that facilitates the data correctness of smelters and refiners being supplied to our suppliers.

v) Report Annually on Supply Chain Due Diligence: Public Reporting of our Conflict-Free Materials Compliance

- LITEON reports annually on our supply chain due to diligence activities including the responsible minerals policy and management in our annual Sustainability Report and the Responsible Minerals Report. All information is publicly available on our company website.

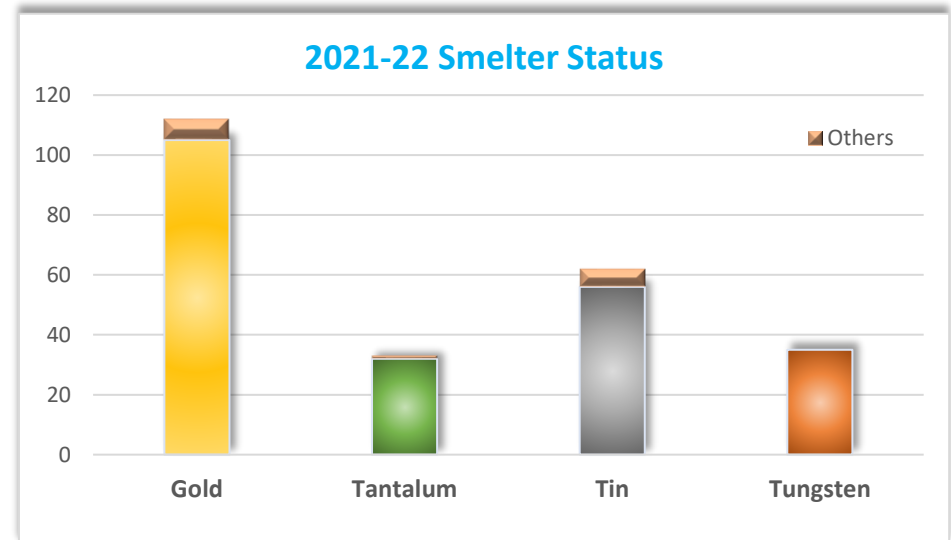
Due Diligence Results

For LITEON’s due diligence results in 2021-2022, LITEON summarized the outcome of due diligence that identifies 3TG smelters in the supply chain. LITEON viewed the information received through its supplier survey responses, the CMRT template, and through its RMI membership to receive smelters lists. In 2021-2022, there are 228 of 3TG smelters reported to us, and 105 of these smelters provided gold, which takes up the most portions of the smelters list. Besides, 14 of these smelters currently are active or temporarily non-conformant under RMAP. Certain raw materials contain conflict minerals were provided by these 14 smelters, these raw materials were from particular customer assigned products, and only were applied in distinct customized products in specific product line.

Our suppliers reported a total of 214 3TG smelters and they are compliant with, or in process to become compliant with, an assessment of responsible minerals program. The following appendices to this report that provide additional details on the direct suppliers and SORs in LITEON’s supply chain:

- Appendix I: Smelters & Refiners—the List of 3TG in LITEON supply chain.
- Appendix II: Covered Minerals--Countries of Origin— covered the countries from which the 228 3TG smelters may have sourced necessary minerals.

| Year | 2021-22 |
|---------------------------------|---------|
| Total number of smelters | 228 |
| Gold | 105 |
| Tantalum | 32 |
| Tin | 56 |
| Tungsten | 35 |



Continuous Improvement in Understanding Source of Material

LITEON will continue to take steps during the next reporting period to improve the due diligence conducted and further mitigate the risk in its supply chain, including:

- LITEON will continue to engage with suppliers to gain better visibility of the country of origin and chain of custody of the 3TG used in our functionality or production of our products. And to work with our suppliers and engage with our supply chain to increase the quality of the data provided to us.
 - LITEON will continue to be a member of the RMI and contribute to the various initiatives, and encourage our suppliers to use publicly available tools from the RMI and to source from conflict-free smelters that are listed as conformant by the RMI.
 - LITEON will continue to maintain requirements for our suppliers to support our conflict minerals policy and due diligence efforts as beginning new contracts with new suppliers and renewing contracts with existing suppliers.
 - LITEON will continue to engage with those customers who assign raw material vendors whose products/parts contain conflict minerals from active or non-conformant smelter & refiners and make sure no other LITEON products would use nor contain these certain raw materials.
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Appendix I: Smelters & Refiners

| Metal | CID | Smelter & Refiners | Country | Remark |
|-------|-----------|---|--------------------|--|
| Gold | CID001875 | Tanaka Kikinzoku Kogyo K.K | JAPAN | |
| Gold | CID002763 | 8853 S.p.A. | ITALY | |
| Gold | CID000128 | Bangko Sentral ng Pilipinas (Central Bank of the Philippines) | PHILIPPINES | |
| Gold | CID000189 | Cendres + Metaux S.A. | SWITZERLAND | |
| Gold | CID000711 | Heraeus Germany GmbH Co. KG | GERMANY | |
| Gold | CID000493 | JSC Novosibirsk Refinery | RUSSIAN FEDERATION | <i>Requested by Particular Customer (OPS only)</i> |
| Gold | CID000929 | JSC Uralelectromed | RUSSIAN FEDERATION | <i>Requested by Particular Customer (OPS only)</i> |
| Gold | CID001204 | Moscow Special Alloys Processing Plant | RUSSIAN FEDERATION | <i>Requested by Particular Customer (OPS only)</i> |
| Gold | CID001236 | Navoi Mining and Metallurgical Combinat | UZBEKISTAN | |
| Gold | CID002779 | Ogussa Osterreichische Gold- und Silber-Scheideanstalt GmbH | AUSTRIA | |
| Gold | CID001326 | OJSC "The Gulidov Krasnoyarsk Non-Ferrous Metals Plant" (OJSC Krastsvetmet) | RUSSIAN FEDERATION | <i>Requested by Particular Customer (OPS only)</i> |
| Gold | CID001386 | Prioksky Plant of Non-Ferrous Metals | RUSSIAN FEDERATION | <i>Requested by Particular Customer (OPS only)</i> |
| Gold | CID002761 | SAAMP | FRANCE | |
| Gold | CID002973 | Safimet S.p.A | ITALY | |
| Gold | CID002777 | SAXONIA Edelmetalle GmbH | GERMANY | <i>Requested by Particular Customer (OPS only)</i> |
| Gold | CID001756 | SOE Shyolkovsky Factory of Secondary Precious Metals | RUSSIAN FEDERATION | <i>Requested by Particular Customer (OPS only)</i> |

| Metal | CID | Smelter & Refiners | Country | Remark |
|-------|-----------|---|--------------------------|--------|
| Gold | CID002615 | TOO Tau-Ken-Altyn | KAZAKHSTAN | |
| Gold | CID002314 | Umicore Precious Metals Thailand | THAILAND | |
| Gold | CID000015 | Advanced Chemical Company | UNITED STATES OF AMERICA | |
| Gold | CID000035 | Allgemeine Gold-und Silberscheideanstalt A.G. | GERMANY | |
| Gold | CID000041 | Almalyk Mining and Metallurgical Complex (AMMC) | UZBEKISTAN | |
| Gold | CID000058 | AngloGold Ashanti Corrego do Sitio Mineracao | BRAZIL | |
| Gold | CID000113 | Aurubis AG | GERMANY | |
| Gold | CID000157 | Boliden AB | SWEDEN | |
| Gold | CID000176 | C. Hafner GmbH + Co. KG | GERMANY | |
| Gold | CID000233 | Chimet S.p.A. | ITALY | |
| Gold | CID000264 | Chugai Mining | JAPAN | |
| Gold | CID000359 | DSC (Do Sung Corporation) | KOREA, REPUBLIC OF | |
| Gold | CID000362 | DODUCO Contacts and Refining GmbH | GERMANY | |
| Gold | CID000425 | Eco-System Recycling Co., Ltd. East Plant | JAPAN | |
| Gold | CID000689 | LT Metal Ltd. | KOREA, REPUBLIC OF | |
| Gold | CID000694 | Heimerle + Meule GmbH | GERMANY | |
| Gold | CID000801 | Inner Mongolia Qiankun Gold and Silver Refinery Share Co., Ltd. | CHINA | |
| Gold | CID000814 | Istanbul Gold Refinery | TURKEY | |
| Gold | CID000823 | Japan Mint | JAPAN | |
| Gold | CID000855 | Jiangxi Copper Co., Ltd. | CHINA | |
| Gold | CID000957 | Kazzinc | KAZAKHSTAN | |

| Metal | CID | Smelter & Refiners | Country | Remark |
|-------|-----------|---|---------------------------|--------|
| Gold | CID000969 | Kennecott Utah Copper LLC | UNITED STATES OF AMERICA | |
| Gold | CID000981 | Kojima Chemicals Co., Ltd. | JAPAN | |
| Gold | CID001161 | Metalurgica Met-Mex Penoles S.A. De C.V. | MEXICO | |
| Gold | CID001220 | Nadir Metal Rafineri San. Ve Tic. A.S. | TURKEY | |
| Gold | CID001397 | PT Aneka Tambang (Persero) Tbk | INDONESIA | |
| Gold | CID001498 | PX Precinox S.A. | SWITZERLAND | |
| Gold | CID001512 | Rand Refinery (Pty) Ltd. | SOUTH AFRICA | |
| Gold | CID001555 | Samduck Precious Metals | KOREA, REPUBLIC OF | |
| Gold | CID001585 | SEMPA Joyeria Plateria S.A. | SPAIN | |
| Gold | CID001736 | Sichuan Tianze Precious Metals Co., Ltd. | CHINA | |
| Gold | CID001955 | Torecom | KOREA, REPUBLIC OF | |
| Gold | CID001980 | Umicore S.A. Business Unit Precious Metals Refining | BELGIUM | |
| Gold | CID002100 | Yamakin Co., Ltd. | JAPAN | |
| Gold | CID002129 | Yokohama Metal Co., Ltd. | JAPAN | |
| Gold | CID002290 | SAFINA A.S. | CZECHIA | |
| Gold | CID002459 | Geib Refining Corporation | UNITED STATES OF AMERICA | |
| Gold | CID002509 | MMTC-PAMP India Pvt., Ltd. | INDIA | |
| Gold | CID002511 | KGHM Polska Miedz Spolka Akcyjna | POLAND | |
| Gold | CID002516 | Singway Technology Co., Ltd. | TAIWAN, PROVINCE OF CHINA | |
| Gold | CID002560 | Al Etihad Gold Refinery DMCC | UNITED ARAB EMIRATES | |
| Gold | CID002561 | Emirates Gold DMCC | UNITED ARAB EMIRATES | |
| Gold | CID002580 | T.C.A S.p.A | ITALY | |

| Metal | CID | Smelter & Refiners | Country | Remark |
|-------|-----------|--|--------------------|--------|
| Gold | CID002582 | REMONDIS PMR B.V. | NETHERLANDS | |
| Gold | CID002605 | Korea Zinc Co., Ltd. | KOREA, REPUBLIC OF | |
| Gold | CID002606 | Marsam Metals | BRAZIL | |
| Gold | CID002762 | L'Orfebre S.A. | ANDORRA | |
| Gold | CID002765 | Italpreziosi | ITALY | |
| Gold | CID002778 | WIELAND Edelmetalle GmbH | GERMANY | |
| Gold | CID002863 | Bangalore Refinery | INDIA | |
| Gold | CID002918 | SungEel HiMetal Co., Ltd. | KOREA, REPUBLIC OF | |
| Gold | CID002919 | Planta Recuperadora de Metales SpA | CHILE | |
| Gold | CID003424 | Eco-System Recycling Co., Ltd. North Plant | JAPAN | |
| Gold | CID003425 | Eco-System Recycling Co., Ltd. West Plant | JAPAN | |
| Gold | CID000077 | Argor-Heraeus S.A. | SWITZERLAND | |
| Gold | CID000924 | Asahi Refining Canada Ltd. | CANADA | |
| Gold | CID000807 | Ishifuku Metal Industry Co., Ltd. | JAPAN | |
| Gold | CID001938 | Tokuriki Honten Co., Ltd. | JAPAN | |
| Gold | CID001259 | Nihon Material Co., Ltd. | JAPAN | |
| Gold | CID000090 | Asaka Riken Co., Ltd. | JAPAN | |
| Gold | CID000019 | Aida Chemical Industries Co., Ltd. | JAPAN | |
| Gold | CID000082 | Asahi Pretec Corp. | JAPAN | |
| Gold | CID000937 | JX Nippon Mining & Metals Co., Ltd. | JAPAN | |
| Gold | CID001119 | Matsuda Sangyo Co., Ltd. | JAPAN | |
| Gold | CID001193 | Mitsui Mining and Smelting Co., Ltd. | JAPAN | |

| Metal | CID | Smelter & Refiners | Country | Remark |
|-------|-----------|---|---------------------------|--------|
| Gold | CID001325 | Ohura Precious Metal Industry Co., Ltd. | JAPAN | |
| Gold | CID001798 | Sumitomo Metal Mining Co., Ltd. | JAPAN | |
| Gold | CID002003 | Valcambi S.A. | SWITZERLAND | |
| Gold | CID001078 | LS-NIKKO Copper Inc. | KOREA, REPUBLIC OF | |
| Gold | CID001352 | PAMP S.A. | SWITZERLAND | |
| Gold | CID002224 | Henan Zhongyuan Gold Smelter of Zhongjin Gold Corporation Limited | CHINA | |
| Gold | CID000185 | CCR Refinery - Glencore Canada Corporation | CANADA | |
| Gold | CID000707 | Heraeus Metals Hong Kong Ltd. | CHINA | |
| Gold | CID001113 | Materion | UNITED STATES OF AMERICA | |
| Gold | CID001534 | Royal Canadian Mint | CANADA | |
| Gold | CID000920 | Asahi Refining USA Inc. | UNITED STATES OF AMERICA | |
| Gold | CID001993 | United Precious Metal Refining, Inc. | UNITED STATES OF AMERICA | |
| Gold | CID001147 | Metalor Technologies (Suzhou) Ltd. | CHINA | |
| Gold | CID001916 | Shandong Gold Smelting Co., Ltd. | CHINA | |
| Gold | CID001761 | Solar Applied Materials Technology Corp. | TAIWAN, PROVINCE OF CHINA | |
| Gold | CID001149 | Metalor Technologies (Hong Kong) Ltd. | CHINA | |
| Gold | CID001152 | Metalor Technologies (Singapore) Pte., Ltd. | SINGAPORE | |
| Gold | CID001153 | Metalor Technologies S.A. | SWITZERLAND | |
| Gold | CID001157 | Metalor USA Refining Corporation | UNITED STATES OF AMERICA | |
| Gold | CID001622 | Shandong Zhaojin Gold & Silver Refinery Co., Ltd. | CHINA | |
| Gold | CID002030 | Western Australian Mint (T/a The Perth Mint) | AUSTRALIA | |

| Metal | CID | Smelter & Refiners | Country | Remark |
|----------|-----------|---|--------------------------|--|
| Gold | CID000401 | Dowa | JAPAN | |
| Gold | CID002243 | Gold Refinery of Zijin Mining Group Co., Ltd. | CHINA | |
| Gold | CID001188 | Mitsubishi Materials Corporation | JAPAN | |
| Tantalum | CID000456 | Exotech Inc. | UNITED STATES OF AMERICA | <i>Requested by Particular Customer (OPS only)</i> |
| Tantalum | CID000211 | Changsha South Tantalum Niobium Co., Ltd. | CHINA | |
| Tantalum | CID000460 | F&X Electro-Materials Ltd. | CHINA | |
| Tantalum | CID000616 | XIMEI RESOURCES (GUANGDONG) LIMITED | CHINA | |
| Tantalum | CID000914 | JiuJiang JinXin Nonferrous Metals Co., Ltd. | CHINA | |
| Tantalum | CID000917 | Jiujiang Tanbre Co., Ltd. | CHINA | |
| Tantalum | CID001076 | LSM Brasil S.A. | BRAZIL | |
| Tantalum | CID001163 | Metallurgical Products India Pvt., Ltd. | INDIA | |
| Tantalum | CID001200 | NPM Silmet AS | ESTONIA | |
| Tantalum | CID001277 | Ningxia Orient Tantalum Industry Co., Ltd. | CHINA | |
| Tantalum | CID001508 | QuantumClean | UNITED STATES OF AMERICA | |
| Tantalum | CID001522 | Yanling Jincheng Tantalum & Niobium Co., Ltd. | CHINA | |
| Tantalum | CID001769 | Solikamsk Magnesium Works OAO | RUSSIAN FEDERATION | |
| Tantalum | CID001869 | Taki Chemical Co., Ltd. | JAPAN | |
| Tantalum | CID001891 | Telex Metals | UNITED STATES OF AMERICA | |
| Tantalum | CID002492 | Hengyang King Xing Lifeng New Materials Co., Ltd. | CHINA | |
| Tantalum | CID002504 | D Block Metals, LLC | UNITED STATES OF AMERICA | |
| Tantalum | CID002505 | FIR Metals & Resource Ltd. | CHINA | |
| Tantalum | CID002506 | Jiujiang Zhongao Tantalum & Niobium Co., Ltd. | CHINA | |

| Metal | CID | Smelter & Refiners | Country | Remark |
|----------|-----------|---|--------------------------|--|
| Tantalum | CID002508 | XinXing HaoRong Electronic Material Co., Ltd. | CHINA | |
| Tantalum | CID002512 | Jiangxi Dinghai Tantalum & Niobium Co., Ltd. | CHINA | |
| Tantalum | CID002539 | KEMET de Mexico | MEXICO | |
| Tantalum | CID002558 | Global Advanced Metals Aizu | JAPAN | |
| Tantalum | CID002842 | Jiangxi Tuohong New Raw Material | CHINA | |
| Tantalum | CID002557 | Global Advanced Metals Boyertown | UNITED STATES OF AMERICA | |
| Tantalum | CID002547 | H.C. Starck Hermsdorf GmbH | GERMANY | |
| Tantalum | CID002544 | H.C. Starck Co., Ltd. | THAILAND | |
| Tantalum | CID002548 | H.C. Starck Inc. | UNITED STATES OF AMERICA | |
| Tantalum | CID002549 | H.C. Starck Ltd. | JAPAN | |
| Tantalum | CID002550 | H.C. Starck Smelting GmbH & Co. KG | GERMANY | |
| Tantalum | CID002545 | H.C. Starck Tantalum and Niobium GmbH | GERMANY | |
| Tantalum | CID001969 | Ulba Metallurgical Plant JSC | KAZAKHSTAN | |
| Tin | CID001402 | PT Babel Inti Perkasa | INDONESIA | |
| Tin | CID001428 | PT Bukit Timah | INDONESIA | |
| Tin | CID002706 | Resind Ind e Com Ltda. | BRAZIL | |
| Tin | CID002455 | CV Venus Inti Perkasa | INDONESIA | <i>Requested by Particular Customer (OPS only)</i> |
| Tin | CID000942 | Gejiu Kai Meng Industry and Trade LLC | CHINA | <i>Requested by Particular Customer (OPS only)</i> |
| Tin | CID002500 | Melt Metais e Ligas S.A. | BRAZIL | <i>Requested by Particular Customer (OPS only)</i> |
| Tin | CID003205 | PT Bangka Serumpun | INDONESIA | |
| Tin | CID003449 | PT Mitra Sukses Globalindo | INDONESIA | <i>Requested by Particular Customer (OPS only)</i> |

| Metal | CID | Smelter & Refiners | Country | Remark |
|-------|-----------|--|--------------------------|--|
| Tin | CID001468 | PT Stanindo Inti Perkasa | INDONESIA | |
| Tin | CID001486 | PT Timah Nusantara | INDONESIA | <i>Requested by Particular Customer (OPS only)</i> |
| Tin | CID001490 | PT Tinindo Inter Nusa | INDONESIA | |
| Tin | CID003397 | Yunnan Yunfan Non-ferrous Metals Co., Ltd. | CHINA | <i>Requested by Particular Customer (OPS only)</i> |
| Tin | CID000468 | Fenix Metals | POLAND | |
| Tin | CID000555 | Gejiu Zili Mining And Metallurgy Co., Ltd. | CHINA | |
| Tin | CID001231 | Jiangxi New Nanshan Technology Ltd. | CHINA | |
| Tin | CID001314 | O.M. Manufacturing (Thailand) Co., Ltd. | THAILAND | |
| Tin | CID001399 | PT Artha Cipta Langgeng | INDONESIA | |
| Tin | CID001406 | PT Babel Surya Alam Lestari | INDONESIA | |
| Tin | CID001458 | PT Prima Timah Utama | INDONESIA | |
| Tin | CID001758 | Soft Metais Ltda. | BRAZIL | |
| Tin | CID001908 | Gejiu Yunxin Nonferrous Electrolysis Co., Ltd. | CHINA | |
| Tin | CID002036 | White Solder Metalurgia e Mineracao Ltda. | BRAZIL | |
| Tin | CID002468 | Magnu's Minerais Metais e Ligas Ltda. | BRAZIL | |
| Tin | CID002503 | PT ATD Makmur Mandiri Jaya | INDONESIA | |
| Tin | CID002844 | HuiChang Hill Tin Industry Co., Ltd. | CHINA | |
| Tin | CID003116 | Guangdong Hanhe Non-Ferrous Metal Co., Ltd. | CHINA | |
| Tin | CID003325 | Tin Technology & Refining | UNITED STATES OF AMERICA | |
| Tin | CID003379 | Ma'anshan Weitai Tin Co., Ltd. | CHINA | |
| Tin | CID003381 | PT Rajawali Rimba Perkasa | INDONESIA | |

| Metal | CID | Smelter & Refiners | Country | Remark |
|-------|-----------|---|----------------------------------|--------|
| Tin | CID003387 | Luna Smelter, Ltd. | RWANDA | |
| Tin | CID002180 | Yunnan Tin Company, Ltd. | CHINA | |
| Tin | CID000438 | EM Vinto | BOLIVIA (PLURINATIONAL STATE OF) | |
| Tin | CID001142 | Metallic Resources, Inc. | UNITED STATES OF AMERICA | |
| Tin | CID002517 | O.M. Manufacturing Philippines, Inc. | PHILIPPINES | |
| Tin | CID002774 | Metallo Spain S.L.U. | SPAIN | |
| Tin | CID002834 | Thai Nguyen Mining and Metallurgy Co., Ltd. | VIET NAM | |
| Tin | CID002835 | PT Menara Cipta Mulia | INDONESIA | |
| Tin | CID001453 | PT Mitra Stania Prima | INDONESIA | |
| Tin | CID002158 | Yunnan Chengfeng Non-ferrous Metals Co., Ltd. | CHINA | |
| Tin | CID000228 | Chenzhou Yunxiang Mining and Metallurgy Co., Ltd. | CHINA | |
| Tin | CID000292 | Alpha | UNITED STATES OF AMERICA | |
| Tin | CID003190 | Chifeng Dajingzi Tin Industry Co., Ltd. | CHINA | |
| Tin | CID000402 | Dowa | JAPAN | |
| Tin | CID001173 | Mineracao Taboca S.A. | BRAZIL | |
| Tin | CID001191 | Mitsubishi Materials Corporation | JAPAN | |
| Tin | CID001337 | Operaciones Metalurgicas S.A. | BOLIVIA (PLURINATIONAL STATE OF) | |
| Tin | CID001460 | PT Refined Bangka Tin | INDONESIA | |
| Tin | CID001477 | PT Timah Tbk Kundur | INDONESIA | |
| Tin | CID001539 | Rui Da Hung | TAIWAN, PROVINCE OF CHINA | |

| Metal | CID | Smelter & Refiners | Country | Remark |
|----------|-----------|---|--------------------------|--------|
| Tin | CID001898 | Thaisarco | THAILAND | |
| Tin | CID002773 | Metallo Belgium N.V. | BELGIUM | |
| Tin | CID001482 | PT Timah Tbk Mentok | INDONESIA | |
| Tin | CID001070 | China Tin Group Co., Ltd. | CHINA | |
| Tin | CID001105 | Malaysia Smelting Corporation (MSC) | MALAYSIA | |
| Tin | CID000538 | Gejiu Non-Ferrous Metal Processing Co., Ltd. | CHINA | |
| Tin | CID001182 | Minsur | PERU | |
| Tungsten | CID002641 | China Molybdenum Tungsten Co., Ltd. | CHINA | |
| Tungsten | CID000004 | A.L.M.T. TUNGSTEN Corp. | JAPAN | |
| Tungsten | CID002543 | Nui Phao H.C. Starck Tungsten Chemicals Manufacturing LLC | VIET NAM | |
| Tungsten | CID000218 | Guangdong Xianglu Tungsten Co., Ltd. | CHINA | |
| Tungsten | CID000766 | Hunan Chenzhou Mining Co., Ltd. | CHINA | |
| Tungsten | CID000966 | Kennametal Fallon | UNITED STATES OF AMERICA | |
| Tungsten | CID002315 | Ganzhou Jiangwu Ferrotungsten Co., Ltd. | CHINA | |
| Tungsten | CID002316 | Jiangxi Yaosheng Tungsten Co., Ltd. | CHINA | |
| Tungsten | CID002317 | Jiangxi Xinsheng Tungsten Industry Co., Ltd. | CHINA | |
| Tungsten | CID002319 | Malipo Haiyu Tungsten Co., Ltd. | CHINA | |
| Tungsten | CID002321 | Jiangxi Gan Bei Tungsten Co., Ltd. | CHINA | |
| Tungsten | CID002502 | Asia Tungsten Products Vietnam Ltd. | VIET NAM | |
| Tungsten | CID002645 | Ganzhou Haichuang Tungsten Co., Ltd. | CHINA | |
| Tungsten | CID002649 | Hydrometallurg, JSC | RUSSIAN FEDERATION | |
| Tungsten | CID002724 | Unecha Refractory metals plant | RUSSIAN FEDERATION | |

| Metal | CID | Smelter & Refiners | Country | Remark |
|----------|-----------|---|---------------------------|--------|
| Tungsten | CID002827 | Philippine Chuangxin Industrial Co., Inc. | PHILIPPINES | |
| Tungsten | CID002833 | ACL Metais Eireli | BRAZIL | |
| Tungsten | CID002845 | Moliren Ltd. | RUSSIAN FEDERATION | |
| Tungsten | CID003388 | KGETS Co., Ltd. | KOREA, REPUBLIC OF | |
| Tungsten | CID003401 | Fujian Ganmin RareMetal Co., Ltd. | CHINA | |
| Tungsten | CID003407 | Lianyou Metals Co., Ltd. | TAIWAN, PROVINCE OF CHINA | |
| Tungsten | CID002830 | Xinfeng Huarui Tungsten & Molybdenum New Material Co., Ltd. | CHINA | |
| Tungsten | CID000825 | Japan New Metals Co., Ltd. | JAPAN | |
| Tungsten | CID000258 | Zhangyuan Tungsten Co Ltd | CHINA | |
| Tungsten | CID002589 | Niagara Refining LLC | UNITED STATES OF AMERICA | |
| Tungsten | CID002044 | Wolfram Bergbau und Hutten AG | AUSTRIA | |
| Tungsten | CID000105 | Kennametal Huntsville | UNITED STATES OF AMERICA | |
| Tungsten | CID002318 | Jiangxi Tonggu Non-ferrous Metallurgical & Chemical Co., Ltd. | CHINA | |
| Tungsten | CID002541 | H.C. Starck Tungsten GmbH | GERMANY | |
| Tungsten | CID002551 | Jiangwu H.C. Starck Tungsten Products Co., Ltd. | CHINA | |
| Tungsten | CID002513 | Chenzhou Diamond Tungsten Products Co., Ltd. | CHINA | |
| Tungsten | CID002320 | Xiamen Tungsten (H.C.) Co., Ltd. | CHINA | |
| Tungsten | CID000769 | Hunan Chunchang Nonferrous Metals Co., Ltd. | CHINA | |
| Tungsten | CID000875 | Ganzhou Huaxing Tungsten Products Co., Ltd. | CHINA | |
| Tungsten | CID000568 | Global Tungsten & Powders Corp. | UNITED STATES OF AMERICA | |

Appendix II: Covered Minerals--Countries of Origin

| | | | | |
|-----------|------------|--------------------------|----------------------|--------------------------|
| Australia | Czechia | Korea, Republic of Korea | Singapore | United States of America |
| Andorra | Estonia | Malaysia | South Africa | Uzbekistan |
| Austria | France | Mexico | Spain | Vietnam |
| Belgium | Germany | Netherlands | Sweden | |
| Bolivia | India | Peru | Switzerland | |
| Brazil | Indonesia | Philippines | Taiwan | |
| Canada | Italy | Poland | Thailand | |
| Chile | Japan | Russian Federation | Turkey | |
| China | Kazakhstan | Rwanda | United Arab Emirates | |