

## LITE-ON Technology Corporation Director Performance Evaluation Self-evaluation Questionnaire

The period of evaluation : Year \_\_\_\_\_

Note 1 : If the evaluation results of an individual item to be evaluated are not a full indication of what is being evaluated, add more information in the note column.

Note 2: The period of evaluation is from 1 January to 31 December of the applicable year.

Note 3: Evaluation shall be completed before the first board meeting to be convened after the end of the year for which evaluation is being performed.

Note 4: The evaluation results are presented in 5 levels. The principles of evaluation levels are explained as follows:

Number 1: Very bad (strongly disagree); Number 2: Poor (disagree); Number 3: Medium (normal);

Number 4: Excellent (agree); Number 5: Excellent (strongly agree)

Evaluation items	Evaluation results	Noted
<b>A. Understanding of company goals and missions</b>		
1. Do directors understand the company's core value (philosophies such as discipline, mission, honor and vision)?	1 2 3 4 5	
2. Do directors clearly understand all the strategic goals of the company defined by the board of directors?	1 2 3 4 5	
3. Do directors understand the characteristics and risks of the industry in which the company operates business?	1 2 3 4 5	
<b>B. Director's understanding of their duties and responsibilities</b>		
4. Do directors understand the legal obligations a director needs to perform?	1 2 3 4 5	
5. Do new directors understand their duties and responsibilities and the operation and environment of the company?	1 2 3 4 5	
6. Are directors keeping in confidentiality the internal information of the company they have obtained during performance of their duties?	1 2 3 4 5	
<b>C. Participation in the company's operation</b>		
7. Is it true, on average, an individual director's attendance (not including attendance by proxy) at the board meeting has a rate of 85% or above?  (under a rate of 65% is Number 1、65% to 75% is Number 2、75% to 85% is Number 3、85% to 90% is Number 4、90% above	1 2 3 4 5	

Evaluation items	Evaluation results	Noted
is Number 5)		
8. Do directors understand and read meeting materials before a board meeting?	1 2 3 4 5	
9. Do directors spend sufficient time on matters relating to the board of directors?	1 2 3 4 5	
10. Do directors make validated contributions at the board meetings, e.g. provide specific suggestions on proposals?	1 2 3 4 5	
11. Do directors read the meeting minutes after receipt of the documents?	1 2 3 4 5	
12. Do directors have a clear understanding of the company, its management team and the industry in which the company operates?	1 2 3 4 5	
13. Do directors diligently assess and keep track of existing or potential risks to the company? Are directors involved in discussion of implementation and follow-up status of the internal control system?	1 2 3 4 5	
14. Number of companies where directors concurrently serve as directors and supervisors of other companies that meet the requirements of the competent authority	1 2 3 4 5	
<b>D. Internal relation maintenance and communications</b>		
15. Does the board of directors have a positive interaction with the management team?	1 2 3 4 5	
16. Do directors have good communications with other board members?	1 2 3 4 5	
17. Do directors engage in communications and exchanges with CPAs?	1 2 3 4 5	
<b>E. Election of directors and continued knowledge development</b>		
18. Do directors have the professionalism required in the board's implementation of decisions?	1 2 3 4 5	
19. Have directors had the required hours of advanced studies in	1 2 3 4 5	

Evaluation items	Evaluation results	Noted
a year?		
20. Do directors continue to attend courses of diversified topics to strengthen their professional knowledge and skills?	1 2 3 4 5	
<b>F. Internal control</b>		
21. When an issue on the agenda requires recusal of a director to avoid conflict of interest, does the director recuse him/herself?	1 2 3 4 5	
22. Do directors effectively evaluate and supervise the effectiveness of various internal control systems and risk control measures?	1 2 3 4 5	
23. Do directors take actions to understand and supervise the company's accounting system, financial position and financial reports, audit reports and their follow-up?	1 2 3 4 5	
<b>Other supplementary notes</b>		

Director: \_\_\_\_\_ (Signature and Date)